

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE **DATE: August 31, 2006** TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE: INTERNATIONAL APPLICATION NO.: PRIORITY DATE CLAIMED: March 5, 2004 PCT/JP04/15544 October 14, 2004 TITLE OF INVENTION: DISK APPARATUS APPLICANT(S) FOR DO/EO/US: Shinichi WADA and Hiroshi IKENO Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. XX This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. 3. XX This is an express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1). A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority 5. XX A copy of the International Application as filed (35 U.S.C. 371(c)(2)): is transmitted herewith (required only if not transmitted by the International Bureau). a. **XX** has been transmitted by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. XX A translation of the International Application into English (35 U.S.C. 371(c)(2)). 7. XX Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. d. XX have not been made and will not be made. 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. ____ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. ____ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED: 11. XX An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with PTO-1449, 5 references and International Search Report. a. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. XX b. ASSIGNEE(s) NAME(s) AND ADDRESS MATSUSHITA ELECTRIC INDUSTRIAL CO., LTD., Kadoma-shi, Japan Please publish the assignee data with the application. _ A FIRST preliminary amendment. A **SECOND** or **SUBSEQUENT** preliminary amendment 14. ____ A substitute specification. A change of power of attorney and/or address letter. 16. XX Other items or information: 4 Sheets of Drawings.

-1-

IAP12 Rec'd PCT/PTO 3 1 AUG 2006

ATTORNEY'S DOCKET NO:060656

U.S. APPLICAT (if known)	17/59129	NTERNATIONAL APPLI PCT/JP04/15544	CATION NO.		DATE: Augus	t 31, 2006	
17. X The fol	lowing fees are subm	tted:				CALCULATIONS	PTO USE ONLY
Basic Nationa	al Fee (37 CFR 1.49	2(a)(1)-(5):		\$3	300.00	\$ 300.00	
Search Fee -	all other situations .			\$5	500.00		
National Stag	ge Search Fee - U.S.	was the ISA		\$1	100.00		11 1 1 3
National Stage Search Fee - search report prepared and provided to USPTO					00.00	\$ 400.00	
Examination Fee - all other situations					200.00	\$ 200.00	
	ge Examination Fee A and, all claims sat	sfy PCT Article 33(1)-(4)		\$1	.00.00		
		ENTER APPROPRI	ATE BASIC FE	E AMO	UNT =	\$ 900.00	
sequence listin	ng or computer progra	ind drawings filed in paper o m listing filed in an electroni ets of paper or fraction there	ic medium), t				
Total Sheets		Number of each additional 50 or fraction R thereof (round up to a whole number)			ATE		
21 - 100 =	/ 50 =				250.00		
		g the oath or declaration late imed priority date (37 DVR 1			\$ 130	.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	MBER EXTRA RATE				
TOTAL	12 - 20 =		X \$ 50.00)			
INDEPENDENT	X \$ 200.00)					
Multiple deper	ndent claims(s) (if app	licable)	+ \$360.00)			
		TOTAL OF ABOVE	CALCULATIO	NS =	\$1030	0.00	•
	1/2 for filing by small (1.9, 1.27, 1.28).	entity, if applicable.					
SUBTOTAL =					\$1030	0.00	
		shing the English translation med priority date (37 CFR 1		20 +			
		TOTA	L NATIONAL F	EE =	\$1030	0.00	
		ssignment (37 CFR 1.21(h) d by an appropriate cover	sheet (37 CF				
			.00 per prope		4465		
		TOTAL F	EES ENCLOS	ED =	\$1030 Amou	0.00 nt to be: refunded	\$
					-	charged	\$
					l		

JAP12 Rec'd PCT/PTO 3 1 AUG 2006

U.S. APPLICATION 5 91 2 9 SITERNATION (if known)	DATE: August 31, 2006
	the above fees is enclosed. (\$300.00 for basic fee, \$400.00 for search fee, r the late declaration.) (This paper is filed in triplicate)
b Please charge my Deposit Account No. 01-23 is enclosed.)	40 in the amount of \$ to cover the above fees. (A duplicate copy of this sheet
c. XX The Commissioner is hereby authorized to characteristic Deposit Account No. 01-2340.	rge any additional fees which may be required, or credit any overpayment to
NOTE: Where an appropriate time limit under 37 CFF must be filed to request that the application by	R 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) e restored to pending status.
1	
Send All Correspondence To:	
Armstrong, Kratz, Quintos, Hanson & Brooks, LLP Suite 1000,1725 K Street, N.W. Washington, D. C. 20006 Tel: (202) 659-2930 Fax: (202) 887-0357	23850 PATENT TRADEMARK OFFICE
Suite 1000,1725 K Street, N.W. Washington, D. C. 20006 Tel: (202) 659-2930	
Suite 1000,1725 K Street, N.W. Washington, D. C. 20006 Tel: (202) 659-2930 Fax: (202) 887-0357	PATENT TRADEMARK OFFICE